

CCRT: Categorical and Combinatorial Representation Theory.

From combinatorics of universal problems
to usual applications.

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Collaboration at various stages of the work
and in the framework of the Project

Evolution Equations in Combinatorics and Physics :

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CIP seminar,

Friday conversations:

For this seminar, please have a look at Slide CCRT[n] & ff.

Goal of this series of talks

The goal of this talk is threefold

Free objects and their combinatorics

A bit of category theory: How to construct free objects w.r.t. a functor and two routes to reach the free algebra.

CRT ?

Representation theory: Categories of modules, semi-simplicity, isomorphism classes i.e. the framework of Kronecker coefficients

MRS and apps

MRS factorisation: A local system of coordinates for Hausdorff groups

CCRT[8]: Free structures without functors and Free differential objects.

Useful categories/1

Below a quick list of the categories of use in combinatorics (k is a given field), morphisms are standard.

- 1 **St**, the category of sets
- 2 **Mon**, the category of monoids
- 3 **CMon**, the category of commutative monoids
- 4 **Gp**, the category of groups
- 5 **Ring**, the category of rings
- 6 **CRing**, the category of commutative rings
- 7 **Vect_k**, the category of k -vector spaces
- 8 **Lie_k**, the category of k -Lie algebras
- 9 **AAU_k**, the category of k -Associative Algebras with Unit
- 10 **CAAU_k**, the category of k -Associative and Commutative Algebras with Unit

Useful categories/2

- 12 **Alg_k**, the category of k -Algebras (without conditions)
- 13 **DiffAlg_k**, the category of k -Associative Differential Algebras with Unit.
- 14 **CDiffAlg_k**, the category of k -Associative Commutative Differential Algebras with Unit.
- 15 **DiffRing**, the category of Differential rings.
- 16 **CDiffRing**, the category of Commutative Differential Rings.

Remarks. –

i) All of these have a standard forgetful functor to **St**. They usually compose and factor nicely. See also [20].

ii) For $\mathbf{k} = \mathbb{Z}$, one has

$$\mathbf{DiffAlg}_{\mathbb{Z}} = \mathbf{DiffRing} \text{ and } \mathbf{CDiffAlg}_{\mathbb{Z}} = \mathbf{CDiffRing}.$$

The categories **DiffRing**, **CDiffRing**, **DiffAlg_k**, **CDiffAlg_k**

- 1 We begin with **DiffAlg_k**

Let \mathbf{k} be a ring **DiffAlg_k** is the category of pairs (\mathcal{A}, ∂) where $\mathcal{A} \in \mathbf{AAU}_{\mathbf{k}}$ and $\partial \in \text{Der}(\mathcal{A})$. An arrow $f : (\mathcal{A}, \partial_{\mathcal{A}}) \rightarrow (\mathcal{B}, \partial_{\mathcal{B}})$ is an arrow $f \in \text{Hom}_{\mathbf{k}}(\mathcal{A}, \mathcal{B})$ such that $f\partial_{\mathcal{A}} = \partial_{\mathcal{B}}f$.

- 2 For $(\mathcal{A}, \partial_{\mathcal{A}}) \in \mathbf{DiffAlg}_{\mathbf{k}}$, $\ker(\partial_{\mathcal{A}})$ is a \mathbf{k} -subalgebra of \mathcal{A} called that of constants of \mathcal{A} .

We now describe the free objects

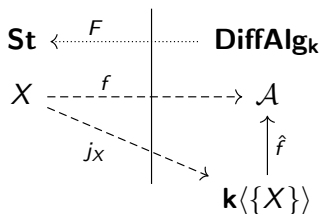
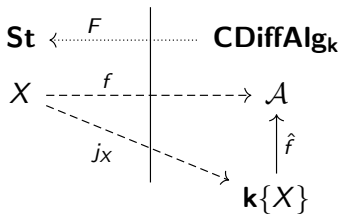


Figure: A solution of the universal problem w.r.t. the natural forgetful functor from **DiffAlg_k** to **St**.

Construction of $\mathbf{k}\langle\{X\}\rangle$ and $\mathbf{k}\{X\}$

- 1 We describe the structure. Let X be an alphabet. The free object $\mathbf{k}\langle\{X\}\rangle$ is:
 - 1 a free algebra $\mathbf{k}\langle X \times \mathbb{N} \rangle$ where, for all $x \in X$, is noted $(x, n) = x^{[n]}$ and, for convenience, $x^{[0]} = x$. This algebra is equipped with the derivation ∂ such that $\partial(x^{[k]}) = x^{[k+1]}$
 - 2 Existence of ∂ as a derivation is standard (see e.g. [2], Ch I, §2.8 *Extension of derivations*).
 - 3 The construction is similar to what is to be found in [21], but in the noncommutative realm.
- 2 We now say a word of the construction in [21]



Construction of $\mathbf{k}\{X\}$

- ③ Construction of $\mathbf{k}\{X\}$ is very similar to that of $\mathbf{k}\langle\{X\}\rangle$ but
 - ① It is devoted to the category $\mathbf{CDiffAlg}_k$ (commutative differential k -algebras)
 - ② It uses commutative polynomials i.e. the basic algebra is $\mathbf{k}[X \times \mathbb{N}]$ (and not $\mathbf{k}\langle X \times \mathbb{N}\rangle$) with the same notations ($(x, n) = x^{[n]}$ and $x^{[0]} = x$).
 - ③ It is the one used for Proposition 2 in Vu's talk (and, in fact, the construction can be done using $\mathbf{k}\{X\}$ with $Y_i^{[j]} = Y_{ij}$ and a suitable ideal).
 - ④ We recall Proposition 2.

Proposition 2

Let F be a differential field with algebraically closed field of constants C_F and $\mathcal{L}(Y) = Y^{(n)} + a_{n-1}Y^{(n-1)} + \dots + a_1Y' + a_0Y = 0$ be defined over F . Then there exists a Picard-Vessiot extension L of F for \mathcal{L} , that is unique up to differential F -isomorphism.

Application: Cartan theorem in Banach algebras (without transversality nor Lipschitz condition)

See <https://mathoverflow.net/questions/356531> for motivation.

Theorem Let \mathcal{B} be a Banach algebra (with unit e) and G be a closed subgroup of \mathcal{B}^{-1} (the group of multiplicative inverses). Let $L(G)$ be the tangent space of G and $m : I \rightarrow L(G)$ be a continuous function ($I \subset \mathbb{R}$ is an open interval containing $0_{\mathbb{R}}$), then

i) The following system

$$y'(t) = m(t)y(t) ; y(0) = e$$

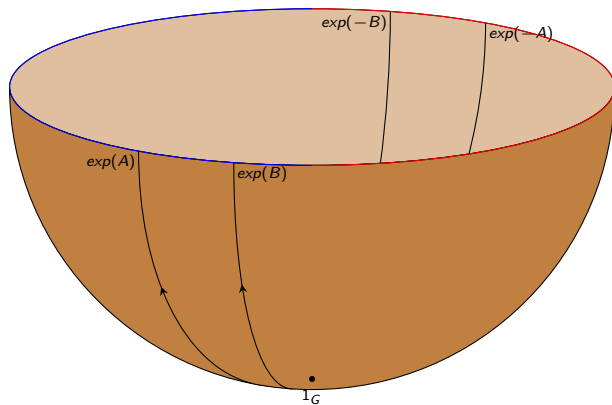
admits a unique solution, say $s(t)$.

ii) The trajectory of s is entirely in G (in other words $t \mapsto s(t)$ is a path drawn on G). My questions are the following:

Q1) Is it known? (I expect so, at least of the specialists)

Q2) If yes, is there a sound reference? (not general, but about this very simple and precise property).

Magnus and Hausdorff groups




The Magnus group is the set of series with constant term 1_{X^*} , the Hausdorff (sub)-group, is the group of group-like series for Δ_{III} . These are also Lie exponentials (here A, B are Lie series and $\exp(A)\exp(B) = \exp(H(A, B))$).

About Magnus expansion and Poincaré-Hausdorff formula/1

Let $(\mathbb{C}\langle\{X\}\rangle, \partial)$ be the differential algebra freely generated by X (a single formal variable). We define a comultiplication Δ by asking that all $X^{[k]}$ be primitive note that Δ commutes with the derivation. Setting, in $\widehat{\mathbb{C}\langle\{X\}\rangle}$, $D = \partial(e^X)e^{-X}$, direct computation shows that D is primitive and hence a Lie series¹, which can therefore be written as a sum of (evaluations of) Dynkin trees. On the other hand, the formula

$$D = \sum_{k \geq 1} \frac{1}{k!} \sum_{l=0}^{k-1} X^l (\partial X) X^{k-1-l} \cdot \sum_{n \geq 0} \frac{(-X)^n}{n!} \quad (1)$$

suggests that all bidegrees, in $(X, \partial X)$, are of the form $[n, 1]$ and thus, there exists an univariate series $\Phi(Y) = \sum_{n \geq 0} a_n Y^n$ such that $D = \Phi(ad_X)[\partial X]$.

¹Which would be trivial, if we were in $\mathbb{C}\{X\}$ (i.e. X commutes with ∂X , as there $D = \partial(X)$, but this is not the case within $\mathbb{C}\langle\{X\}\rangle$ as shows the computation (1). 

About Magnus expansion and Poincaré-Hausdorff formula/2

Using left and right multiplications by X (resp. noted g, d), we can rewrite (1) as

$$D = \left(\sum_{k \geq 1} \frac{1}{k!} \sum_{l=0}^{k-1} g^l d^{k-1-l} [\partial X] \right) e^{-X} \quad (2)$$

but, from the fact that g, d commute, the inner sum $\sum_{l=0}^{k-1} g^l d^{k-1-l}$ is ruled out by the the following identity (in $\mathbb{C}[Y, Z]$, but computed within $\mathbb{C}(Y, Z)$) and

$$\sum_{l=0}^{k-1} Y^l Z^{k-1-l} = \frac{Y^k - Z^k}{Y - Z} = \frac{((Y - Z) + Z)^k - Z^k}{Y - Z} = \sum_{j=1}^k \binom{k}{j} (Y - Z)^j Z^{k-j}$$

$$\sum_{l=0}^{k-1} Y^l Z^{k-1-l} = \frac{Y^k - Z^k}{Y - Z} = \frac{((Y - Z) + Z)^k - Z^k}{Y - Z} = \sum_{j=1}^k \binom{k}{j} (Y - Z)^j Z^{k-j} \quad (3)$$

Taking notice that $(g - d) = ad_X$ and plugging (3) into (1), one gets

$$D = \left(\sum_{k \geq 1} \frac{1}{k!} \sum_{j=1}^k \binom{k}{j} (ad_X)^{j-1} d^{k-j} [\partial X] \right) e^{-X} =$$

$$\frac{1}{ad_X} \left(\sum_{k \geq 1} \sum_{j=1}^k \frac{1}{j!(k-j)!} (ad_X)^j d^{k-j} [\partial X] \right) e^{-X} = \frac{e^{ad_X} - 1}{ad_X} [X'] \quad (4)$$

which is Poincaré-Hausdorff formula (of course $\frac{e^{ad_X} - 1}{ad_X}$ stands for the substitution of ad_X in the formal series corresponding to the entire function $\frac{e^z - 1}{z}$).

Free structures without functors and Free differential objects.

Universal problem without functors: Coproducts.

All here is stated within the same category \mathcal{C} .

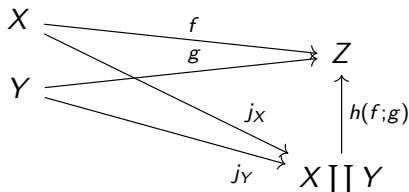


Figure: Coproduct $(j_X, j_Y; X \amalg Y)$.

$$\begin{aligned} & (\forall (f, g) \in \text{Hom}(X, Z) \times \text{Hom}(Y, Z)) \\ & (\exists! h(f; g) \in \text{Hom}(X \amalg Y, Z)) \\ & (h(f; g) \circ j_X = f \text{ and } h(f; g) \circ j_Y = g) \end{aligned} \tag{5}$$

Coproducts: Sets

All here is stated within the category **Set**.

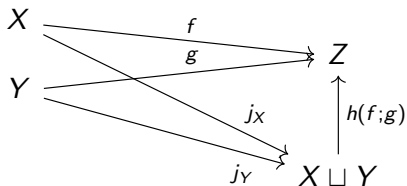


Figure: Coproduct $(j_X, j_Y; X \sqcup Y)$.

$$\begin{aligned} & (\forall (f, g) \in \text{Hom}(X, Z) \times \text{Hom}(Y, Z)) \\ & (\exists! h(f; g) \in \text{Hom}(X \sqcup Y, Z)) \\ & (h(f; g) \circ j_X = f \text{ and } h(f; g) \circ j_Y = g) \end{aligned} \tag{6}$$

Coproducts: Vector Spaces

All here is stated within the same category $\mathbf{k} - \mathbf{Vect}$.

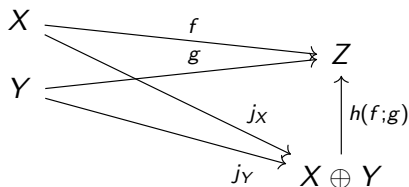


Figure: Coproduct $(j_X, j_Y; X \oplus Y)$ here $h(f; g) = f \oplus g$.

$$\begin{aligned} & (\forall (f, g) \in \text{Hom}(X, Z) \times \text{Hom}(Y, Z)) \\ & (\exists! h(f; g) \in \text{Hom}(X \oplus Y, Z)) \\ & (h(f; g) \circ j_X = f \text{ and } h(f; g) \circ j_Y = g) \end{aligned} \quad (7)$$

Coproducts: $\mathbf{k} - \mathbf{CAAU}$

All here is stated within the same category $\mathbf{k} - \mathbf{CAAU}$.

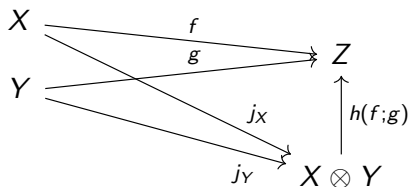


Figure: Coproduct $(j_X, j_Y; X \otimes Y)$ here $h(f; g) = f \otimes g$.

$$\begin{aligned} & (\forall (f, g) \in \text{Hom}(X, Z) \times \text{Hom}(Y, Z)) \\ & (\exists! h(f; g) \in \text{Hom}(X \otimes Y, Z)) \\ & (h(f; g) \circ j_X = f \text{ and } h(f; g) \circ j_Y = g) \end{aligned} \quad (8)$$

Coproducts: Augmented \mathbf{k} – AAU

All here is stated within the same category *Augmented \mathbf{k} – AAU*.

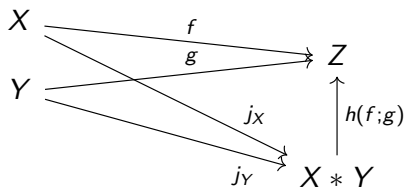


Figure: Coproduct $(j_X, j_Y; X * Y)$ here $h(f; g) = f * g$.

$$\begin{aligned} & (\forall (f, g) \in \text{Hom}(X, Z) \times \text{Hom}(Y, Z)) \\ & (\exists! h(f; g) \in \text{Hom}(X * Y, Z)) \\ & (h(f; g) \circ j_X = f \text{ and } h(f; g) \circ j_Y = g) \end{aligned} \quad (9)$$

Links

1 Categorical framework(s)

<https://ncatlab.org/nlab/show/category>

[https://en.wikipedia.org/wiki/Category_\(mathematics\)](https://en.wikipedia.org/wiki/Category_(mathematics))

2 Universal problems

<https://ncatlab.org/nlab/show/universal+construction>

https://en.wikipedia.org/wiki/Universal_property

3 Paolo Perrone, *Notes on Category Theory with examples from basic mathematics*, 181p (2020)

arXiv:1912.10642 [math.CT]

https://en.wikipedia.org/wiki/Abstract_nonsense

4 Heteromorphism

<https://ncatlab.org/nlab/show/heteromorphism>

5 D. Ellerman, *MacLane, Bourbaki, and Adjoints: A Heteromorphic Retrospective*, David Ellerman Philosophy Department, University of California at Riverside

Links/2

- 6 https://en.wikipedia.org/wiki/Category_of_modules
- 7 <https://ncatlab.org/nlab/show/Grothendieck+group>
- 8 Traces and hilbertian operators
<https://hal.archives-ouvertes.fr/hal-01015295/document>
- 9 State on a star-algebra
<https://ncatlab.org/nlab/show/state+on+a+star-algebra>
- 10 Hilbert module
<https://ncatlab.org/nlab/show/Hilbert+module>

- [1] N. Bourbaki, *Algèbre, Chapitre 8*, Springer, 2012.
- [2] N. Bourbaki.– *Lie Groups and Lie Algebras, ch 1-3*, Addison-Wesley, ISBN 0-201-00643-X
- [3] P. Cartier, *Jacobiennes généralisées, monodromie unipotente et intégrales itérées*, Séminaire Bourbaki, Volume 30 (1987-1988) , Talk no. 687 , p. 31-52
- [4] M. Deneufchâtel, GD, V. Hoang Ngoc Minh and A. I. Solomon, *Independence of Hyperlogarithms over Function Fields via Algebraic Combinatorics*, 4th International Conference on Algebraic Informatics, Linz (2011). Proceedings, Lecture Notes in Computer Science, 6742, Springer.
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- [6] GD, Quoc Huan Ngô and Vincel Hoang Ngoc Minh, *Kleene stars of the plane, polylogarithms and symmetries*, (pp 52-72) TCS 800, 2019, pp 52-72.
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<https://ncatlab.org/nlab/show/adjunct>
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